

CPEA Blueprint Outline

DOMAIN	DESCRIPTION	%
1.0 Ethics	performing EHS audits to high standards of honesty, objectivity, and diligence.	4
2.0 Due Professional Care	applying the skill and judgment expected of a reasonably prudent and competent EHS auditor appropriate to the nature and complexities of the audit	13
2.1 Auditor Independence and Objectivity	assuring auditor independence and objectivity	
2.2 Auditor Competence, Training, and Credentialing	ensuring auditor performance	
3.0 Program Implementation	managing an EHS audit program	19
3.1 Audit Program Planning	establishing objectives, scope, schedule, criteria, and staffing for audits	
3.2 Program Independence and Objectivity	assuring organizational independence and program objectivity	
3.3 Program Results	tracking and communicating program-wide findings, corrective actions, data analysis and trends, and risks	
3.4 Program Review	implementing mechanisms to review the audit program in its entirety, including evaluation of feedback from individual audits, and reporting results to management	
3.5 Management of Program Records	storage, retention, and destruction of audit reports and audit working papers	
4.0 Audit Execution	performing an individual audit	45
4.1 Audit Preparation	auditor's individual work to prioritize work and plan evidence collection	
4.2 Communications	maintaining open communications, including through opening and closing meetings, with audited personnel and audit team members	
4.3 Collecting Evidence	physical observations, electronic media, interviews, document review, sampling	
4.4 Analyzing Evidence	verification, evaluation, confirmation, identifying trends	
4.5 Auditor Records	individual auditor working papers (planning, notes, exhibits, pertinent documents, completed checklists)	
4.6 Quality Assurance	assuring all audit activities conform to established standards	

CPEA Blueprint Outline

DOMAIN	DESCRIPTION	%
5.0 Audit Reporting	documenting results of Audit Execution and establishing audit report content	17
5.1 Writing Findings	individual auditor's written work product	
5.2 Writing Recommendations	individual auditor's written work product (if applicable)	
5.3 Communicating Result	managing distribution of reports, maintaining confidentiality and privilege	
6.0 Corrective and Preventive Action Plans	documenting audited facility response to audit results, verification of corrective and preventive actions	2